

**Acknowledgment Receipt:**

SUBMISSION TYPE: BIO Sequence Filing  
APPLICATION NUMBER: 10006922  
FIRST NAMED INVENTOR: Sergey Lukyanov  
TITLE OF INVENTION: Novel Chromophores/Fluorophores and Methods for  
Using the Same  
ATTORNEY DOCKET NUMBER: clon035cip



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transmittal	tranclon35cip.xml 6514 Bytes
sequence-listing	SEQUENCELISTING.txt 57423 Bytes
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biotechnology-listing-filing	u-bio.dtd 3619 Bytes
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DIGITAL CERTIFICATE HOLDER NAME: cn=Bret E. Field, ou=Registered Attorneys

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/006,922	12/04/2001	Sergey A. Lukyanov	CLON035CIP

CONFIRMATION NO. 9351

24353  
BOZICEVIC, FIELD & FRANCIS LLP  
200 MIDDLEFIELD RD  
SUITE 200  
MENLO PARK, CA 94025

**FORMALITIES LETTER**



\*OC000000007446598\*

Date Mailed: 02/08/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

03/13/2002 SZEWDIE1 00000060 500815 10006922

01 FC:105 130.00 CH  
02 FC:101 740.00 CH  
03 FC:102 420.00 CH  
04 FC:103 126.00 CH

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$4120.
  - \$3420 for 190 total claims over 20.
  - \$420 for 5 independent claims over 3 .
  - \$280 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 4990.
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant

desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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PTO/SB/21 (08-00)

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/006,922
		Filing Date	December 4, 2001
		First Named Inventor	LUKYANOV, SERGEY ANATOLIEVICH
		Group Art Unit	1645
		Examiner Name	N/A
Total Number of Pages in This Submission	13	Attorney Docket Number	CLON-035CIP
<b>ENCLOSURES (check all that apply)</b>			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <b>Declaration; Acknowledgment Receipt of Sequence Listing Filing; Postcard</b>	
<input checked="" type="checkbox"/> Preliminary Amendment <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)			
<input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Documents			
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53			
Remarks			
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
Firm or Individual Name	BRET E. FIELD, Reg. No. 37,620		
Signature			
Date	February 25, 2002		

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: February 25, 2002.	
Typed or printed name	Donna Macedo
Signature	
Date	February 25, 2002

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FEE TRANSMITTAL for FY 2002		Complete if Known																													
Patent fees are subject to annual revision.		Application Number	10/006,922																												
		Filing Date	December 4, 2001																												
		First Named Inventor	LUKYANOV, SERGEY ANATOLIEVICH																												
		Examiner Name	Unassigned																												
		Group Art Unit	1645																												
		Attorney Docket No.	CLON-035CIP																												
TOTAL AMOUNT OF PAYMENT (\$)		1,416.00																													
METHOD OF PAYMENT		FEE CALCULATION (continued)																													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit overpayments to: Deposit Account Number 50-0815 Deposit Account Name Bozicevic, Field & Francis LLP <input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant Claims small entity status. See 37 CFR 1.27		3. ADDITIONAL FEES																													
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other																															
2. BASIC FILING FEE																															
<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>101 740 201 370</td><td></td><td>Utility filing fee</td><td>740.00</td></tr><tr><td>106 330 206 165</td><td></td><td>Design filing fee</td><td></td></tr><tr><td>107 510 207 255</td><td></td><td>Plant filing fee</td><td></td></tr><tr><td>108 740 208 370</td><td></td><td>Reissue filing fee</td><td></td></tr><tr><td>114 160 214 80</td><td></td><td>Provisional filing fee</td><td></td></tr><tr><td colspan="3">SUBTOTAL (1)</td><td>740.00</td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	101 740 201 370		Utility filing fee	740.00	106 330 206 165		Design filing fee		107 510 207 255		Plant filing fee		108 740 208 370		Reissue filing fee		114 160 214 80		Provisional filing fee		SUBTOTAL (1)			740.00		
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1. EXTRA CLAIM FEES																															
<table border="1"><thead><tr><th>Large Entity Fee Code (\$)</th><th>Small Entity Fee Code (\$)</th><th>Fee Description</th><th>Fee Paid</th></tr></thead><tbody><tr><td>103 18 203 9</td><td></td><td>Claims in excess of 20</td><td></td></tr><tr><td>102 84 202 42</td><td></td><td>Independent claims in excess of 3</td><td></td></tr><tr><td>104 280 204 140</td><td></td><td>Multiple dependent claim, if not paid</td><td></td></tr><tr><td>109 84 209 42</td><td></td><td>** Reissue independent claims over original patent</td><td></td></tr><tr><td>110 18 210 9</td><td></td><td>** Reissue claims in excess of 20 and over original patent</td><td></td></tr><tr><td colspan="3">SUBTOTAL (2)</td><td>\$ 546.00</td></tr></tbody></table>		Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	103 18 203 9		Claims in excess of 20		102 84 202 42		Independent claims in excess of 3		104 280 204 140		Multiple dependent claim, if not paid		109 84 209 42		** Reissue independent claims over original patent		110 18 210 9		** Reissue claims in excess of 20 and over original patent		SUBTOTAL (2)			\$ 546.00		
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*or number previously paid, if greater; For Reissues, see above.		*Reduced by Basic Filing Fee Paid																													
		SUBTOTAL (3) (\$)																													
		130.00																													

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Bret E. Field	Registration No. (Attorney/Agent)	37,620
Signature		Telephone	(650) 327-3400
		Date	02/25/2002

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